



— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

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INTELLIGENT CONTROL OF RADIO RESOURCES IN A WIRELESS NETWORK

5 The invention relates to the intelligent control of radio resources in a wireless network,
and in particular but not exclusively to the control of resources in a cellular mobile
telephone network.

10 In mobile cellular telephone networks subscribers are dynamically allocated channels
from a pool of available channels. Techniques for increasing the efficiency of channel
usage in mobile networks are essential in order to handle the complexity of third
generation mobile communications. Previous work on analogue and second generation
mobile communications has led to several algorithms being proposed to optimise
channel usage and minimise call blocking probability. Some channel assignment
15 schemes presented in the literature have improved the performance of the basic fixed
channel assignment strategy for different traffic densities (macro/micro/pico cellular
networks) over different traffic load conditions. However, most of the solutions
proposed have an entirely reactive approach: the response to a series of events follows
an algorithm that is prepared to react to specific situations. This limits their efficiency.
Even those schemes that contain adaptive features are not ideal. Some schemes are
20 completely centralised (which is impractical for real implementation because of
computational complexity making them too slow, or because the network would
become overloaded with signalling messages) or are distributed, but restricted to
individual base stations which again limits their efficiency.

25 The mechanism known as Fixed Channel Assignment (FCA) is too limiting for mobile
networks and several strategies have been proposed to maximise frequency channel
allocation and minimise call blocking probability. A detailed survey describing
dynamic channel allocation (DCA) schemes and FCA-based schemes, including those
with channel borrowing, is given in M. Zhang and T.-S. P. Yum, "Comparisons of
30 Channel Assignment Strategies in Cellular Mobile Telephone Systems", IEEE Trans. on
Vehicular Technology, vol. 38, no. 4, November 1989, and H. Jiang and S. S.
Rappaport, "CBWL: A new channel assignment and sharing method for cellular
communication systems", IEEE Trans. on Vehicular Technology, vol. 43, no. 2, May

1994. In these papers it is noted that there is a trade-off between the implementation complexity of the channel allocation algorithms and spectrum utilisation efficiency. DCA schemes perform better under low traffic intensity; modified FCA schemes have superior performance in high traffic loads. DCA schemes use channels more efficiently and for the same blocking rate have a lower forced call termination than FCA-based schemes. However, the near-optimum channel allocation is at the expense of high signalling overheads through its use of centralised allocation schemes. This overhead means that such schemes are not practicable for large networks.

10 Distributed DCA schemes with limited inter-cell communication suffer less overhead, but lead to sub-optimum allocations. Such schemes are being proposed for microcellular systems as this cell structure allows inter-cell information sharing by interference measurements and passive non-intrusive monitoring at each base station (busy/idle status of the carriers).

15 For macrocellular systems, where explicit communication is needed, FCA with channel borrowing offers good results and less computational complexity than DCA. However, those FCA schemes with the best results used centralised control inside the Mobile Switching Centre (MSC). Although that is less complex than DCA schemes, there is still a need to maintain an up-to-date global knowledge of the entire mobile network, leading to a slow response and a heavy signalling load. To alleviate this problem, several authors have proposed modifications to make the schemes more distributed. One example is D-LBSB that performs better than its centralised version and also outperforms other existing schemes like direct retry and CBWL. D-LBSB is a distributed FCA algorithm with selective borrowing, channel locking and channel reassignment. It takes into consideration the position of the mobile users when borrowing and re-assigning channels; it triggers the execution of the algorithm when the usage of the nominal channels in a cell reaches a pre-determined threshold (h , when a previously *cold* cell becomes *hot*). It also controls the number of the channels to be borrowed from or lent to a cell according to the traffic load of the whole cellular network.

According to a first aspect of the present invention, there is provided a method of controlling radio resources in a cellular wireless network comprising a plurality of fixed antennas providing overlapping radio coverage, the method comprising dynamically adjusting the radiation patterns of the antennas using distributed co-operative control.

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Typically, the dynamic adjustment of the radiation patterns is carried out in order to satisfy varying demand patterns from wireless terminals. The adjustment can therefore maintain overall coverage and equalise the load across the cells.

10 The use of distributed co-operative control allows the radiation patterns of antennas to be adjusted quickly and efficiently in response to variations in demand across the network without the intervention of a central control system. The radiation patterns are preferably adjusted in response to negotiations between base stations.

15 The invention can be applied to any wireless network, but in preferred embodiments the wireless network is a cellular mobile network or part thereof comprising a plurality of cells, each cell having a base station comprising one or more antennas, and the method comprises determining the concentration of mobile stations in each cell and changing the radiation/reception pattern of the antennas of some or all of the base stations in a co-
20 ordinated way in response to the concentration of mobile stations in each cell. The wireless network may also, for example, be a fixed wireless access network.

Preferably agent technology is used to co-ordinate this distributed control of the radiation pattern of the antennas, and in preferred embodiments the agent technology is
25 used to control the antennas to dynamically optimise the radio coverage of the network to maximise the capacity of the network. The agent technology may also be used to control frequency channel assignment and handovers between cells.

The agent technology preferably uses agents comprising a control unit, the control unit
30 comprising a reactive layer for managing radio coverage within a cell and one or more planning layers for optimising radio coverage within one or more cells in response to local changes.

According to a second aspect of the present invention, there is provided a method of controlling radio resources in a cellular wireless network comprising a plurality of fixed antennas providing overlapping radio coverage, the method comprising using artificial intelligence techniques to control the radiation patterns of the antennas.

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According to a third aspect of the present invention, there is provided a method of controlling a cellular wireless network comprising base stations, each base station comprising an antenna having a dynamically variable radiation pattern (smart antenna), the method comprising co-ordinating the radiation patterns of the antennas across base stations.

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According to a fourth aspect of the present invention, there is provided a method of optimising the allocation of radio resources in a mobile telephone network, comprising tracking changes in demand by mobile subscribers and co-ordinating the radiation patterns of smart antennas at base stations in the network.

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According to a fifth aspect of the present invention, there is provided a method of co-ordinating the radiation patterns of smart antennas within a wireless network, comprising using hybrid agent architecture to support negotiation between base stations within the network.

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According to a sixth aspect of the present invention, there is provided a wireless network comprising base stations having smart antennas, wherein the radiation patterns of the smart antennas are dynamically adaptable in a co-ordinated way to meet variations in demand within the wireless network.

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Thus in preferred embodiments of the invention, the agent technology approach is used not only to control channel assignment and handovers between cells, but also to control the smart antennas to dynamically optimise the radio coverage of a group of cells to maximise the capacity as required. This can be used in addition to the other mechanisms.

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The invention may also be used in fixed wireless access (wireless in the local loop) to manage capacity on demand. This is similar in concept to cellular mobile systems, but the wireless terminals do not move.

5 In order to accommodate multiple networks and services with multiple bit rates within a limited frequency band, resource flexibility is one of the most important requirements in third-generation networks. A distributed control system, and in particular a multi-agent system, is able to provide this flexibility and is also able to increase the robustness of the network by allowing negotiation when conflicts occur. Such negotiation produces
10 antenna pattern control that can enable a failure of a single cell to be covered by adjacent cells, who themselves have some of their capacity off loaded to cells in a second ring surrounding the failed cell by agent control of their antenna patterns. Such negotiation will also allow a new cell to advertise its existence and be seamlessly added to the network without manual network reconfiguration. This concept can be used to
15 provide temporary increase in network capacity via a portable base station to cover large predictable increases in network demand, such as would be required at a large sporting event.

A Multi-Agent System (MAS) can be defined as a group of agents with specific roles in
20 an organisational structure. The agents interact with the environment and with each other in a co-ordinated way, as collaborators or competitors, seeking to fulfil the local or global aims of the organisation. The definition of an agent and the main characteristics that distinguish agents from other software systems can be found in J. P. Müller, "The Design of Intelligent Agents: A layered Approach", Lecture Notes in Artificial
25 Intelligence 1177, Sub-series of Lecture Notes in Computer Science, Edited by J. Carbonnel and J. Siekmann, Springer, 1996. Agent architectures are classified by the degree of reasoning incorporated by the agent, from a completely logical model (known as Beliefs, Desires, Intentions (BDI) architectures) to a fully reactive model with no symbolic representation.

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Recent research has shown that reactive approaches, while necessary, are not sufficient for efficient control of resources: the base stations should have an adaptive behaviour, not only to improve their own channel usage, but also to co-operate with other base

stations to improve the performance of the whole network. The inherent features of distributed problem solving make a multi-agent system a suitable approach to control such a complex system.

- 5 Logical models present better behaviour than reactive ones, but they can be too slow for real time applications. Hybrid architectures combine features of logical and reactive models and are, therefore, more suitable for real time applications. INTERRAP is a well-known hybrid agent architecture that also incorporates mechanisms for co-ordination and co-operation among autonomous agents. The INTERRAP architecture
- 10 consists of a set of hierarchical layers, a knowledge base that supports the representation of different abstraction levels of knowledge and a well defined control architecture that ensures coherent interaction among layers. It was designed to react to unexpected events, to long term actions based on goals and to cope with other agent interactions. The control unit is structured to include a reactive control layer that is responsible for
- 15 fast accommodation of traffic demand, a local planning control layer using other strategies to optimise the local load distribution of channels and the co-operative control layer, responsible for load balancing across a larger area by initiating handovers to move the allocation of mobile terminals to cells.
- 20 Some preferred embodiments of the invention will now be described by way of example only and with reference to the accompanying drawings in which:

Figure 1 is a schematic representation of the hexagonal cell pattern of a typical mobile phone network, showing the coverage provided by each antenna;

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Figure 2 shows schematically the change in coverage that can be provided by a smart antenna;

Figure 3 is a representation of the architecture of an agent; and

30

Figure 4 is a representation of the reactive layer of a particular embodiment of an agent.

Figure 1 shows schematically the hexagonal cell pattern of a typical idealised mobile telephone network. In this figure, seven cells 1-7 are shown. Each cell has a base station 11-17 at its centre. The radio coverage footprint provided by each base station is not exactly hexagonal and is illustrated by the overlapping circles 21-27, although the coverage is not necessarily circular. This is the coverage that has been assumed for previous work on frequency channel assignment and agent technology controlling handover.

If there are a lot of mobile telephone users in cell 1, so that the capacity of cell 1 needs to be increased, the smart antenna 12 in cell 2 is controlled by the agents (see below) to change its coverage pattern 22 to provide more coverage in cell 1, as shown in Figure 2. This is repeated in smart antennas 13 to 17 whose coverages are commanded to those shown in 23 to 27 thus shrinking the coverage of cell 1 to that of coverage pattern 21 of Figure 2. It is important to ensure that some coverage is maintained across the whole region covered by the network, so other cells may also need to be modified to prevent "holes". For example, the coverage of cell 14 in Figure 2 is now incomplete due to its own high traffic load and so revised cell coverage patterns 28 to 30 provide this coverage from cells adjacent to it. For simplicity, this further modification is not fully shown in Figure 2.

Application of this invention to the GSM system can be done in a low dynamic way where the change in cell coverage can last for minutes and applies to all frequencies and time slots used within a cell or sector. Alternatively it can be applied in a fast dynamic way where calls emanating from several high traffic sources are marshalled (by in cell hand-overs) into one or more GSM time slots and the cell antenna pattern coverage switches between time slots. The GSM standard allows for up to eight full-rate mobile units to share the same frequency. Digitally encoded samples are transmitted during each time slot of 577 μ s duration. A frame of eight time slots repeats every 4.6 ms. Thus the GSM smart antenna system would be able to switch to up to 8 different antenna pattern coverages on the uplink and downlink, maintaining a given pattern for the duration of a time slot.

The invention is also highly applicable to mobile systems operating using Code Division Multiple Access (CDMA) and this embodiment has been used to demonstrate the invention by software simulation of a group of cellular base stations employing agent control.

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Purely reactive approaches, while necessary, are not sufficient for efficient control of radio resources through smart antennas in exactly the same way that they were not with channel assignment and handover control. The base stations must have an adaptive behaviour, not only to improve their radio coverage, but also to co-operate with other base stations to improve the performance of the whole network. The inherent features of distributed problem solving make a multi-agent system a suitable approach to control such a complex system.

The agents interact with the environment and with each other in a co-ordinated way, as collaborators or competitors, seeking to fulfil the local or global aims of the organisation.

One embodiment of the invention uses the INTERRAP hybrid agent architecture that also incorporates mechanisms for co-ordination and co-operation among autonomous agents. The INTERRAP architecture consists of a set of hierarchical layers, a knowledge base that supports the representation of different abstraction levels of knowledge and a well defined control architecture that ensures coherent interaction among layers. It was designed to react to unexpected events, to long term actions based on goals and to cope with other agent interactions.

25

The architecture of an agent is shown in Figure 3. In one embodiment, the cellular network has one agent per cell. The world interface 31 presented to the agent includes the sensor section 32 responsible for the perception of the environment, which would include requests for changes in coverage pattern.

30

The communication section 33 handles message exchanges for radio resource management and in the negotiation process. The action section 34 is responsible for all

execution tasks that actually change radio coverage and terminate appropriately unsuccessful requests.

5 The agent has a knowledge base 35, which contains the world model 36 containing the environment information and everything necessary for the operation of the reactive layer 40. The mental model 37 contains the complete information about the agent, about the radio footprints and possibly history of traffic load in the cell. Finally the social model 38 has relevant information about other agents' data.

10 The agent further comprises a control unit 39, structured to include a reactive control layer 40 that is responsible for fast accommodation of traffic demand, a local planning control layer 41 using other strategies to optimise the local radio patterns and the co-operative control layer 42, responsible for coverage balancing across a larger area. The agents follow a collaborative strategy using a protocol such as the Contract-Net
15 Protocol. A brief description of each layer implementation is given below.

The reactive layer 40 uses a reactive algorithm to manage the radio coverage within the cell, whether this is static or uses beam switching. It is particularly important to deal with handover requests or with new connections.

20

The local planning layer 41 considers local changes to optimise coverage within a cell or a cluster of cells. This ensures optimum coverage of radio signal for existing mobiles, subject to the constraint that coverage throughout the cell must be maintained to allow for new connections.

25

Finally the co-operative layer 42 starts a joint plan when the traffic load of the compact coverage pattern does not allow efficient performance of the local planning scheme. The agent in the hot cell or areas of hot cells, using the contract-net protocol, requests its neighbouring cells or areas to make offers for moving their radio footprint into part of
30 the hot cell region. To be able to make a bid, the adjacent cells need to compute a utility function that requires the load conditions of its compact pattern. Therefore, they request offers of capacity (constrained by terminal distribution) to their adjacent cells. These cells use another utility function to prepare their bids before sending offers. The cells

compute the bids and send the offers back to the hot cell, which chooses the best bid and sends an acknowledgement to the winning cell.

5 A description of the architecture used in a software simulator developed to demonstrate the invention in the context of a CDMA network is now given.

A control mechanism for a CDMA network can be formulated as a multi agent system where the agents represent different roles played by the actors (i.e. the network providers and service providers) in the system. Each agent involved in making decisions
10 at call request time has a multi-layered structure that follows the concept of Bodanese and given in Bodanese, E.L. & Cuthbert, L.G., A Multi-Agent Channel Allocation Scheme for Cellular Mobile Networks, 4th International Conference on Multi-Agent Systems (ICMAS 2000), Boston, MA, USA, 7-12 July 2000. This shares features from well-known architectures such as TouringMachines given in I. A. Ferguson,
15 "TouringMachines: An Architecture for Dynamic, Rational, Mobile Agents." Cambridge, UK: Clare Hall, University of Cambridge, 1992, and INTERRAP. We will describe the architecture as consisting of three layers, called the *reactive*, *local planning* and *co-operation* layers, corresponding to action and decisions being taken on different timescales. There can be more than three layers. This is somewhat similar to the
20 conventional *control plane* and *management plane* distinction in telecommunications networks, but one further advantage of agent technology is that the layering can take place within an agent rather than across the network as a whole. Other agents in the overall system may have a different structure. The agent described in this embodiment is termed as the Radio Resource Agent (RRA) and in this description it manages the
25 resources of a network provider within part of the region served by a Radio Network Controller (RNC). A network provider would have many instances of such an agent managing its resources. In summary, in this embodiment:

- The co-operative layer 42 of the agent negotiates and then executes a joint plan
30 between RRAs where changing the antenna patterns within the control of one RRA may impinge on the patterns required in a neighbouring area. A subset of the algorithmic aspect of this invention realises this layer.

- The local planning layer 41 manages the antenna patterns to maximise the effective use of the network within the control of a particular RRA where they have no impact on other RRAs. One solution aims to minimise the total interference noise resulting from a cluster of mobiles by directing antenna gain in the direction of those clusters with high mobile transmit powers and high cluster populations. This area over which such minimisation occurs can in principle range from one cell to a number of cells managed by the same RRA.
- The reactive layer 40 has to make fast decisions on base-station assignment and connection admission control (CAC) in response to connection requests. It does this following the policy imposed by the planning layers.

Considering the reactive and planning function in more detail: -

- 15 *Reactive Layer:* The reactive layer 40 works at an individual connection request level and performs many of the same functions that would be undertaken in a conventional RNC (assigning the connection to a base station (Node B), and performing Connection Admission Control (CAC)), but rather than use fixed algorithms it does this using context dependent policies created by the planning layer, as shown in Figure 4.

20 A connection request 43 is first considered for assignment 44 to a Node B 45 using an algorithm or set of rules passed down (dynamically) from the planning layer. These rules take into account the particular antenna patterns in use at that time. The assigned Node B is the one that is allowed to perform power control, and which subsequently accepts or rejects the call. Standard assignment mechanisms can be passed down as a policy, or proprietary schemes or even new schemes. Since these can be changed dynamically, the planning layer can respond to local resource issues by changing the assignment strategy in line with changing antenna radiation patterns.

30 This is followed by CAC 45 which operates in a similar way. Should the assignment 44 or CAC 45 fail, an exception management module 46 will check if any local rules can be applied to see if further modified attempts should be made, or whether the failure

should be reported to the local planning layer, which monitors how revised antenna patterns might reduce rejection rate.

It can be seen, therefore, that handling of the request is very fast at the reactive layer,
5 but that the planning layer has a lot of flexibility in changing the policy to suit current conditions.

Local Planning Layer:

The local planning layer sets policy for modules in the reactive layer and plans the
10 reconfiguration of the antennas to optimise coverage and makes decisions regarding sets of call requests. It does this within the range of cells under its own control. It works by considering aggregate demand levels, rather than each individual call request. The planning layer monitors the performance of the reactive layer and monitors environmental factors such as demand. These are used to trigger re-planning.

15 The method need not be just reactive to demand changes. For example, if long or short-term predictions of demand are available then they can be used in constructing optimal plans. The use of predictions will naturally improve the performance of the method, although it is not necessary. An entirely reactive mechanism implicitly uses the forecast
20 that demand stays the same.

An antenna pattern at a Node B or sequence of such patterns over time represents a Node B plan over the chosen planning horizon. One key role of the planning layer is co-ordination of the Node B plans to be generated at the base stations. The aim is to
25 maximise the coverage so as to cater for current and future demand without dropping existing connections and violating the interference and capacity constraints. The weights given to outages and missed new opportunities depend on the business policy. A collection of such Node B plans have been evaluated in the simulation test bed constructed, using the preferred reactive policies.

30 One embodiment of the RRA local planning layer uses genetic algorithms and genetic programming techniques to construct coherent plans. However, other well-known techniques (such as case based reasoning, market based approaches, neural networks,

and hybrid approaches linking the former with hill climbing methods) are candidates for faster run time implementations.

5 It will be appreciated that the arrangement above is not intended to restrict the scope of the invention. For example, the embodiment described is concerned with the use of mobile telephones, but the invention can be applied to, for example, fixed wireless networks. Although the implementation has been described with reference to agent technology, any form of dynamic distributed co-operative control of the smart antenna can be used to optimise the coverage of a network.

CLAIMS:

1. A method of controlling radio resources in a cellular wireless network comprising a plurality of fixed antennas providing overlapping radio coverage, the
5 method comprising dynamically adjusting the radiation patterns of the antennas using distributed co-operative control.
2. A method as claimed in claim 1, wherein the wireless network is a cellular mobile network or part thereof comprising a plurality of cells, each cell having a base
10 station comprising an antenna, the method comprising determining the concentration of mobile stations in each cell and changing the radiation/reception pattern of the antennas of some or all of the base stations in a co-ordinated way in response to the concentration of mobile stations in each cell.
- 15 3. A method as claimed in claim 1 or 2, wherein the radiation patterns of the antennas are adjusted in response to negotiations between base stations.
4. A method as claimed in claim 1, 2 or 3, further comprising using agent technology to provide the distributed co-operative control to co-ordinate the radiation
20 pattern of the antennas.
5. A method as claimed in claim 1, 2, 3 or 4, wherein agent technology is used to control the antennas to dynamically optimise the radio coverage of the network to maximise the capacity of the network.
25
6. A method as claimed in any preceding claim, wherein agent technology is used to control channel assignment and handovers between cells.
7. A method as claimed in claim 4, 5 or 6, wherein the agent technology uses
30 negotiating agents comprising a control unit, the control unit comprising a reactive layer for managing radio coverage within a cell and a local planning layer for optimising radio coverage within one or more cells in response to local changes.

8. A method as claimed in claim 7, wherein the local planning layer uses genetic algorithms to construct coherent plans for optimising the radio coverage.
9. A method as claimed in claim 7 or 8, wherein the control unit further comprises
5 a co-operative layer for negotiation with other agents over a wider region
10. A method as claimed in any preceding claim, wherein the determination of radiation patterns includes an input based on the predicted demand within a group of cells.
10
11. A method as claimed in any preceding claim, wherein the wireless network is a wireless local loop network.
12. A method of controlling radio resources in a cellular wireless network
15 comprising a plurality of fixed antennas providing overlapping radio coverage, the method comprising using artificial intelligence techniques to control the radiation patterns of the antennas.
13. A method of controlling a cellular wireless network comprising base stations,
20 each base station comprising an antenna having a dynamically variable radiation pattern, the method comprising co-ordinating the radiation patterns of the antennas using distributed co-operative control.
14. A method of optimising the allocation of radio resources in a mobile telephone
25 network, comprising tracking changes in demand by mobile subscribers and co-ordinating the radiation patterns of smart antennas at base stations in the network using distributed co-operative control.
15. A method of co-ordinating the radiation patterns of smart antennas within a
30 wireless network, comprising using hybrid agent architecture to support negotiation between base stations within the network.

16. A wireless network comprising base stations having smart antennas, wherein the radiation patterns of the smart antennas are dynamically adaptable under distributed co-operative control to meet variations in demand within a whole wireless network or sub network whilst maintaining full mobile coverage within the said network.

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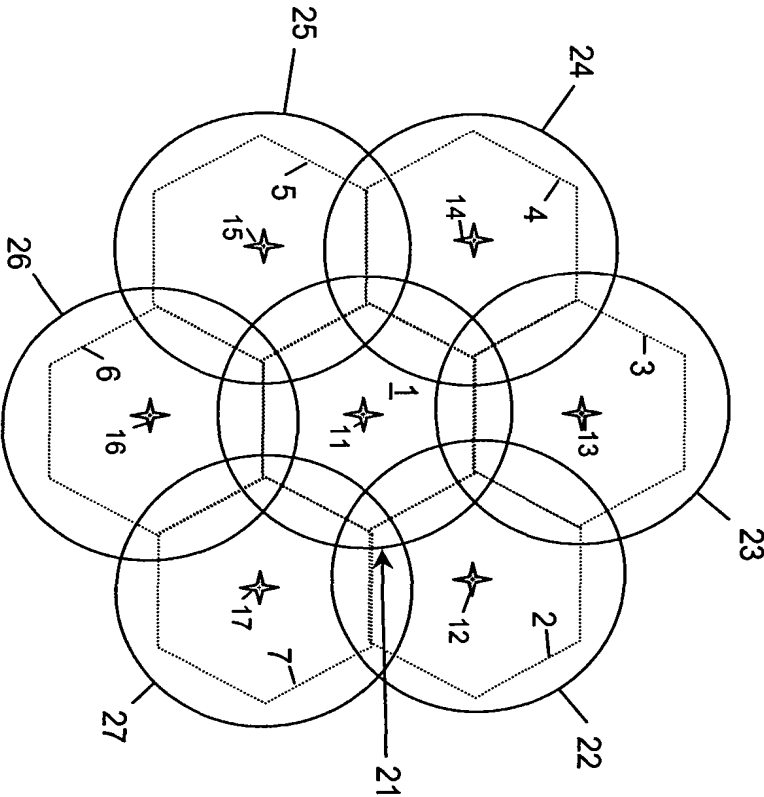


Figure 1

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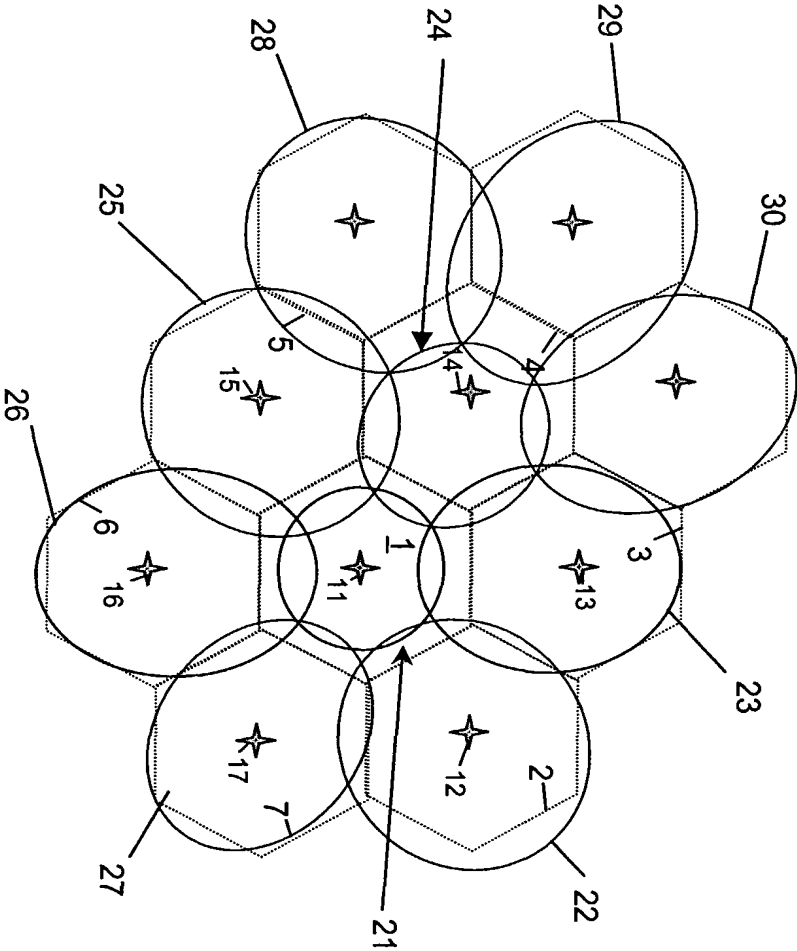
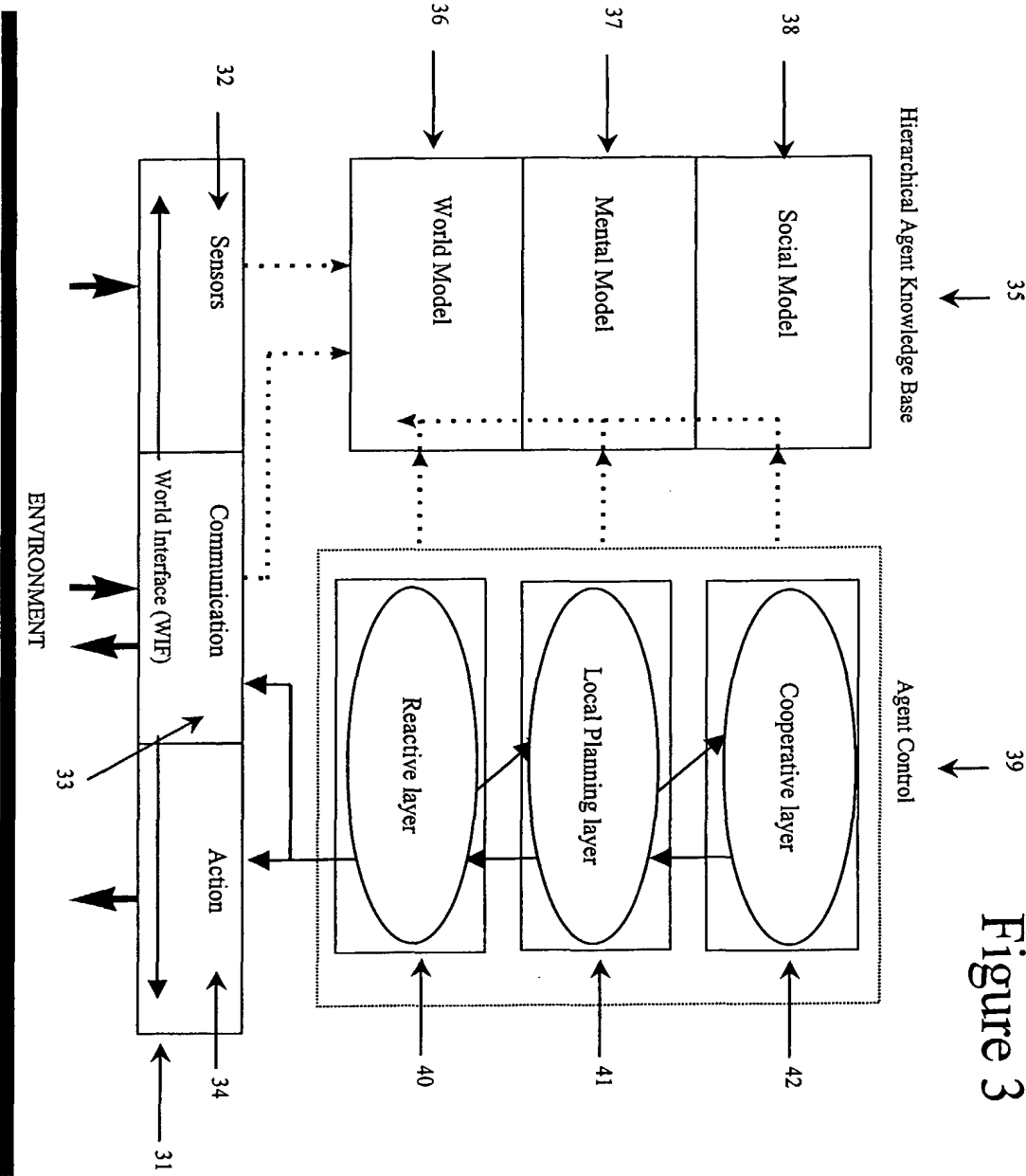


Figure 2

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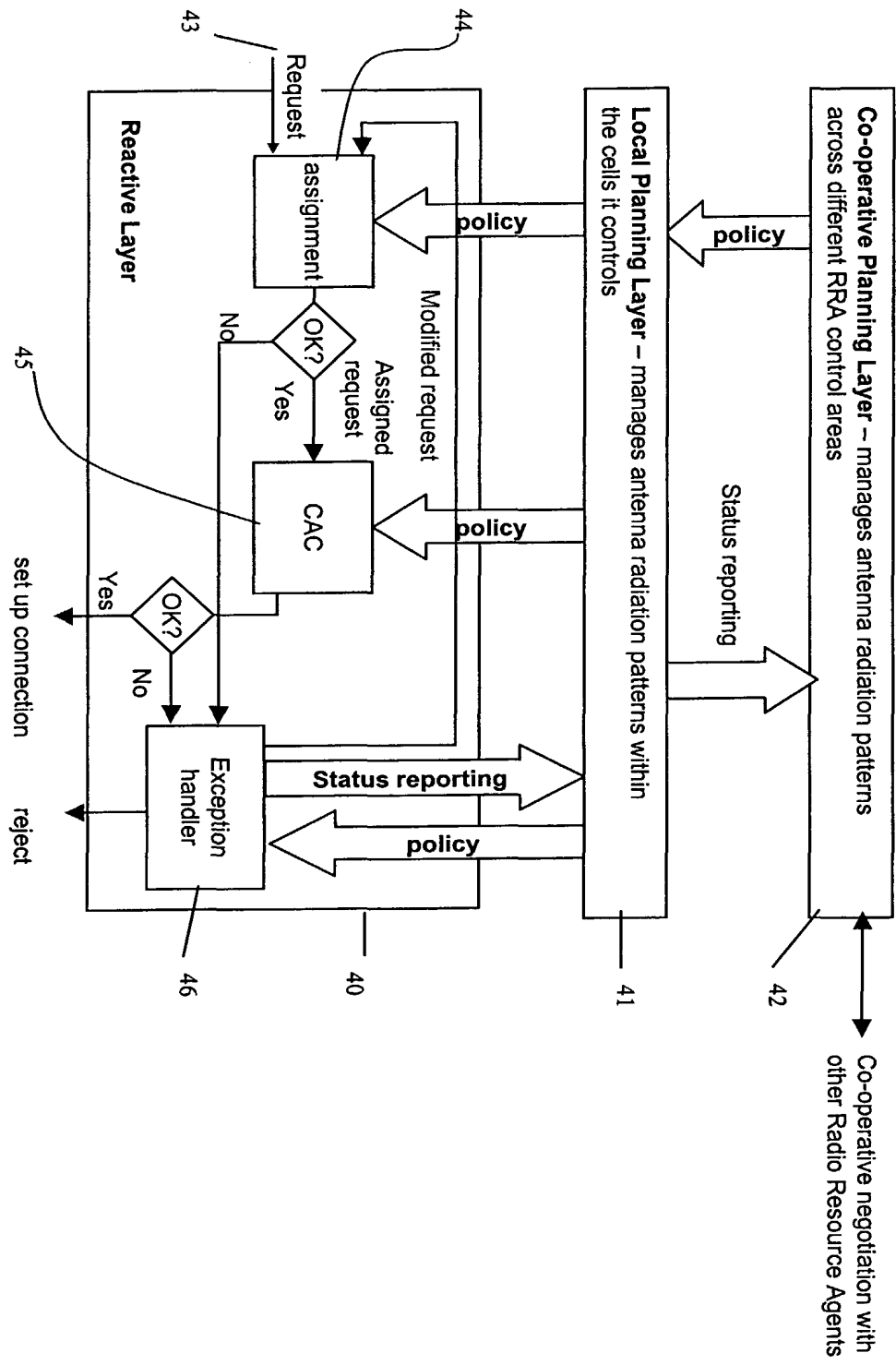


Figure 4

INTERNATIONAL SEARCH REPORT

International Application No
PCT/GB 01/01673

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q7/36

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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X	EP 0 615 395 A (HITACHI, LTD.) 14 September 1994 (1994-09-14) claim 1; figures 5-8 ---	1, 14, 16
X	WO 99 60659 A (RAYTHEON COMPANY) 25 November 1999 (1999-11-25) abstract; claim 1 ---	1, 14, 16
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A	WO 97 08909 A (NOKIA TELECOMMUNICATIONS OY) 6 March 1997 (1997-03-06) abstract; claims 1, 4 page 8, line 12 - line 19 --- -/-	1, 12-16



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

International Application No
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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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